

No. SHAEC2006926307

Page 1 of 11

SUNMATE SEMICONDUCTOR CO., LTMITED 28, YONGGONG ISTRD, YONG AN HSING, KAOHSIUNG HSIEN, TAIWAN

The following sample(s) was/were submitted and identified on behalf of the clients as : DIODE			
SGS Job No. :	SP20-009359 - SH		
Client Ref. Information :	DO-214AC,DO-214AA,DO-214AB,DO-218AB,SMAF,SMBF,SOD-123FL,SOD-3 23 ,SOT-23,TO-89,MBS,MBF,LL41,TO-277,TO-252 ,TO-263,SOD-123,SOD-523,SOD-723,SOD-923,LL34,ABS,SOP-4,TO-269AA,S OT-23-6,SOT-363,SOT-223		
Date of Sample Received :	28 Apr 2020		
Testing Period :	28 Apr 2020 - 12 May 2020		
Test Requested :	Selected test(s) as requested by client.		
Test Method :	Please refer to next page(s).		
Test Results :	Please refer to next page(s).		
Conclusion :	Based on the performed tests on submitted sample(s), the results of Cadmium, Lead, Mercury, Hexavalent chromium, Polybrominated biphenyls (PBBs), Polybrominated diphenyl ethers (PBDEs) and Phthalates such as Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP) and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.		

Signed for and on behalf of SGS-CSTC Standards Technical Services (Shanghai) Co., Ltd.

Dora Hu

Dora Hu Approved Signatory





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Cilent's instructions, if any. The Company's sole responsibility is to its Cilent and this document does not excerpt and within the limits of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN_Doccheck@egs.com 3rdBuilding, No.889 Yishan Road Xuhui District, Shanghai China 200233 www.sgsgroup.com.cn

中国・上海・徐汇区宜山路889号3号楼 邮编: 200233

t E&E (86-21) 61402553 f E&E (86-21) 64953679 t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com



No. SHAEC2006926307

Test Results :

Test Part Description :

Specimen No.	SGS Sample ID	Description
SN1	SHA20-069263.001	Silvery metal
SN2	SHA20-069263.002	Copper metal
SN3	SHA20-069263.003	Black solid

Remarks :

- (1) 1 mg/kg = 0.0001%(2) MDL = Method Detection Limit
- (3) ND = Not Detected (< MDL)
- (4) "-" = Not Regulated

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method : With reference to IEC 62321-4:2013+AMD1:2017, IEC62321-5:2013, IEC62321-7-2:2017, IEC 62321-6:2015 and IEC62321-8:2017, analyzed by ICP-OES, UV-Vis and GC-MS.

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	MDL	<u>003</u>
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1000	mg/kg	2	ND
Mercury (Hg)	1000	mg/kg	2	ND
Hexavalent Chromium (Cr(VI))	1000	mg/kg	8	ND
Sum of PBBs	1000	mg/kg	-	ND
Monobromobiphenyl	-	mg/kg	5	ND
Dibromobiphenyl	-	mg/kg	5	ND
Tribromobiphenyl	-	mg/kg	5	ND
Tetrabromobiphenyl	-	mg/kg	5	ND
Pentabromobiphenyl	-	mg/kg	5	ND
Hexabromobiphenyl	-	mg/kg	5	ND
Heptabromobiphenyl	-	mg/kg	5	ND
Octabromobiphenyl	-	mg/kg	5	ND
Nonabromobiphenyl	-	mg/kg	5	ND
Decabromobiphenyl	-	mg/kg	5	ND
Sum of PBDEs	1000	mg/kg	-	ND
Monobromodiphenyl ether	-	mg/kg	5	ND
Dibromodiphenyl ether	-	mg/kg	5	ND



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 tE&E (86-21) 61402553 fE&E (86-21) 64953679 www.sgsgroup.com.cn

tHL (86-21) 61402594 fHL (86-21) 61156899 e sgs.china@sgs.com



Test Report	No. SHAEC2006926307		Date:	18 May 2020	Page 3 of 11
Test Item(s)	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>003</u>	
Tribromodiphenyl ether	-	mg/kg	5	ND	
Tetrabromodiphenyl ether	-	mg/kg	5	ND	
Pentabromodiphenyl ether	-	mg/kg	5	ND	
Hexabromodiphenyl ether	-	mg/kg	5	ND	
Heptabromodiphenyl ether	-	mg/kg	5	ND	
Octabromodiphenyl ether	-	mg/kg	5	ND	
Nonabromodiphenyl ether	-	mg/kg	5	ND	
Decabromodiphenyl ether	-	mg/kg	5	ND	
Di-butyl Phthalate (DBP)	1000	mg/kg	50	ND	
Benzyl Butyl Phthalate (BBP)	1000	mg/kg	50	ND	
Di-2-Ethyl Hexyl Phthalate (DEHP)	1000	mg/kg	50	ND	
Diisobutyl Phthalates (DIBP)	1000	mg/kg	50	ND	

Notes :

- (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863. IEC 62321 series is equivalent to EN 62321 series https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID: 1258637,25
- (2) The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.
- (3) The restriction of DEHP, BBP, DBP and DIBP shall not apply to toys which are already subject to the restriction of DEHP, BBP, DBP and DIBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method : With reference to IEC 62321-4:2013+AMD1:2017, IEC62321-5:2013 and IEC62321-7-1:2015, analyzed by ICP-OES, AAS and UV-Vis .

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>	<u>002</u>
Cadmium (Cd)	100	mg/kg	2	ND	ND
Lead (Pb)	1000	mg/kg	2		ND
Mercury (Hg)	1000	mg/kg	2	ND	ND
Hexavalent Chromium (Cr(VI))▼	-	µg/cm²	0.10	ND	ND

Notes :

(1)The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863. IEC 62321 series is equivalent to EN 62321 series

https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior writen approval of the Company. Any unauthorized alteration, forgery or falsification of the somplex) to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: Ch. Doccheck@gs.com

中国・上海・徐汇区宜山路889号3号楼 邮编: 200233

t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com



No. SHAEC2006926307

_ID:1258637,25

(2)▼ = a. The sample is positive for CrVI if the CrVI concentration is greater than 0.13 µg/cm². The sample coating is considered to contain CrVI

b. The sample is negative for CrVI if CrVI is ND (concentration less than 0.10 μ g/cm²). The coating is considered a non-CrVI based coating

c. The result between 0.10 $\mu\text{g/cm}^2$ and 0.13 $\mu\text{g/cm}^2$ is considered to be inconclusive -

unavoidable coating variations may influence the determination

Information on storage conditions and production date of the tested sample is unavailable and thus Cr(VI) results represent status of the sample at the time of testing.

Lead

Test Method : Titration method

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Lead (Pb)	0.1	%	-	92.52▲

Notes :

 (1) The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863.
IEC 62321 series is equivalent to EN 62321 series https://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG_ID: 1258637.25

(2)▲According to the declaration from the client, Lead (Pb) in No.001 is exempted by EU RoHS directive 2011/65/EU based on |ANNEX III 7(a)|: Lead in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead).



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior writen approval of the Company any unauthorized alteration, forgery or falsification of the sole means as the sum of this document is subwing the stated the second in the set report. The sole and other sate at the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) test.

3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国·上海·徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21)61156899 e sgs.china@sgs.com

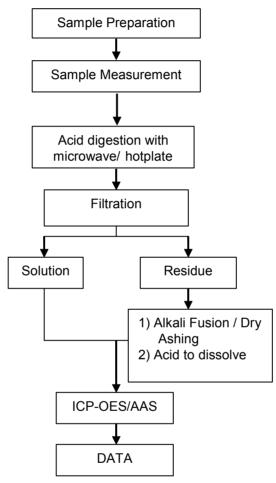


No. SHAEC2006926307

ATTACHMENTS

Elements (IEC62321) Testing Flow Chart

1) These samples were dissolved totally by pre-conditioning method according to below flow chart.





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Deccheck@ase.com

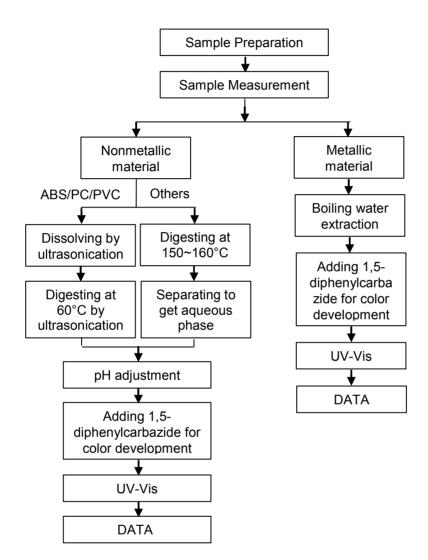
3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 tE&E (86-21) 61402553 fE&E (86-21)64953679 www.sgsgroup.com.cn tHL (86-21) 61402594 fHL (86-21)61156899 e sgs.china@sgs.com



No. SHAEC2006926307

ATTACHMENTS

Hexavalent Chromium (Cr(VI)) Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.gg.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.gg.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the solutent or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this lest report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

3rdBuilding,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn

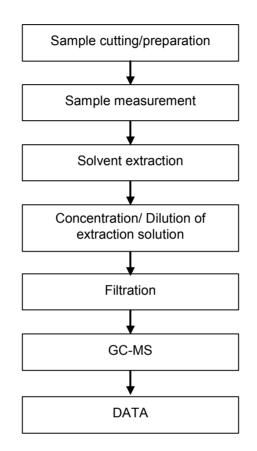
t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com Member of the SGS Group (SGS SA)



No. SHAEC2006926307

ATTACHMENTS

PBBs/PBDEs Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.gs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.gs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN. Doccheck@sos.com.

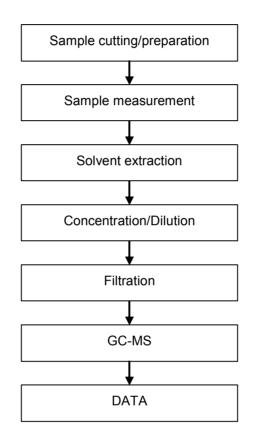
3^{v4}Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21)61156899 e sgs.china@sgs.com



No. SHAEC2006926307

ATTACHMENTS

Phthalates Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN Doccheck@sons.com

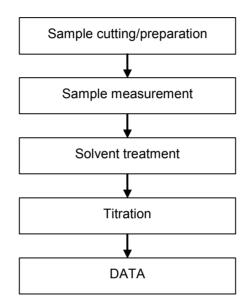
3^{v4}Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21)61156899 e sgs.china@sgs.com



No. SHAEC2006926307

ATTACHMENTS

Titration Testing Flow Chart





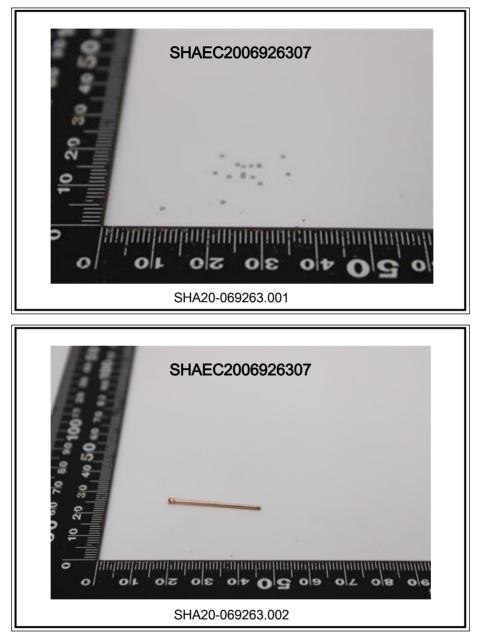
Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this est report refer only to the sample(s) tested.

3^{v4}Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21)61156899 e sgs.china@sgs.com



No. SHAEC2006926307

Sample photo:





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability. Indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@soa.com

3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 tE&E (86-21) 61402553 fE&E (86-21)64953679 www.sgsgroup.com.cn tHL (86-21) 61402594 fHL (86-21)61156899 e sgs.china@sgs.com



No. SHAEC2006926307

Date: 18 May 2020

Page 11 of 11





SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Cilent's instructions, if any. The Company's sole responsibility is to its Cilent and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this lest report refer only to the sample(s) lested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, tion report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /insp

3rdBuilding, No.889 Yishan Road Xuhui District, Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn

t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com Member of the SGS Group (SGS SA)